



Visit our website at [www.heartlandfunds.com](http://www.heartlandfunds.com)

**CHANGE OF REGISTRATION FORM (Do not use this form for an IRA)**

**OVERNIGHT DELIVERY TO:**

Heartland Funds, c/o ALPS Fund Services,  
1290 Broadway, Suite 1100, Denver, CO 80203

**REGULAR MAIL TO:**

Heartland Funds  
P.O. Box 177, Denver, CO 80201-0177

**FOR ASSISTANCE, PLEASE CALL A**

**SHAREHOLDER SERVICES REPRESENTATIVE  
AT 1-800-432-7856 OR (414) 289-7000.**

Return this form with a new account application to transfer ownership of your Heartland Funds account(s). Please note that the shares will remain in the same fund and a new account number will be assigned. If you wish to transfer the shares to a different fund, please note that this exchange constitutes a sale and purchase for federal tax purposes.

This form is for Individual Accounts, Joint Accounts, Trust Accounts and Uniform Gifts/Transfer to Minors Act (UGMA/UTMA). Do not use this form for IRA Accounts. If transferring shares from a corporation, trust, partnership, retirement plan, or as a result of the death of an owner, additional documents may be required. For further instructions, call a Heartland representative at 1-800-432-7856.

**1 CURRENT ACCOUNT INFORMATION**

NAME(S) OF ACCOUNT OWNER(S)

PHONE NUMBER

STREET

CITY, STATE, ZIP

**2 ACCOUNT NUMBER AND AMOUNT OF TRANSFER**

Account Number: \_\_\_\_\_

Account Number: \_\_\_\_\_

Account Number: \_\_\_\_\_

Check one:

All  
 Dollars \$ \_\_\_\_\_  
 Shares # \_\_\_\_\_

Check one:

All  
 Dollars \$ \_\_\_\_\_  
 Shares # \_\_\_\_\_

Check one:

All  
 Dollars \$ \_\_\_\_\_  
 Shares # \_\_\_\_\_

**3 NEW ACCOUNT INFORMATION**

Please attach a new Account Application.

NAME(S) OF ACCOUNT OWNER(S)

PHONE NUMBER

STREET

CITY, STATE, ZIP

**4 CURRENT ACCOUNT OWNER(S) SIGNATURE**

Medallion Guaranteed signatures of all current shareholders are required.

SIGNATURE OF ACCOUNT OWNER/TRUSTEE

DATE

SIGNATURE OF JOINT OWNER(S) IF ANY

DATE

MEDALLION SIGNATURE GUARANTEE (REQUIRED)

MEDALLION SIGNATURE GUARANTEE (IF NECESSARY)

A Medallion Signature guarantee may be obtained from a commercial bank, savings and loan association, credit union, or broker-dealer. A notary public is not an acceptable guarantor.